PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with apprecable fee(s), to: Mail

Mail Stop ISSUE FE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 08/17/2005 Certificate of Mailing or Transmission Brian C. Kunzler I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 8 East Broadway Suite 600 Salt Lake, UT 84111 (Signature (Date) APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 09/660,566 09/12/2000 William G. Deitz SJ09-2000-0087US1 6955 TITLE OF INVENTION: HOST INTERFACE ADAPTIVE HUB STORAGE SYSTEM APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE NO \$1400 11/17/2005 nonprovisional \$1400 **EXAMINER** ART UNIT CLASS-SUBCLASS LEE, CHI HO A 2663 370-217000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list Kunzler & Associates (1) the names of up to 3 registered patent attorneys Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment 11/01/2005 MBEYENE2 00000020 090466 09660566 (B) RESIDENCE: (CITY and STATE OR COMPTRY) (A) NAME OF ASSIGNEE 1400.00 DA International Business Machine Corporation Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): 🔼 Issue Fee A check in the amount of the fee(s) is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 04 - 04 66 (enclose an extra copy of this form). Advance Order - # of Copies \_ 5. Change in Entity Status (from status indicated above)

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

Authorized Signature +

Typed or printed name 1

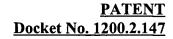
Registration No.

□ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

WILLIAM G. DEITZ, ET AL.

SERIAL NO.:

09/660,566

FILING DATE:

**SEPTEMBER 12, 2000** 

TITLE:

HOST INTERFACE ADAPTIVE HUB STORAGE SYSTEM

**EXAMINER:** 

CHI HO A LEE

GROUP ART UNIT:

2663

ATTY. DKT. No.:

1200.2.147

MAIL STOP ISSUE FEE
COMMISSIONER FOR PATENTS
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## TRANSMITTAL OF THE PAYMENT OF ISSUE FEE

Sir:

The enclosed Payment of the Issue Fee Due is submitted herewith pursuant to 37 C.F.R. § 1.67 and M.P.E.P. § 603.01 for filing in the matter of the United States patent application as hereinabove identified. A duplicate copy of this sheet is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Commissioner of Patents October 24, 2005 Page 2

Respectfully Submitted, Brian C. Kunzler

Brian C. Kunzler Reg. No. 38,527

Attorney for Applicant

Date: October 24, 2005

Brian C. Kunzler 8 East Broadway, Suite 600 Salt Lake City, Utah 84111 Telephone: 801/994-4646

## CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

ce is being deposited with the United States Postal
an envelope addressed to:
O. Box 1450, Alexandria, VA 22313-1450
Respectfully submitted,
cooperating submitted,
(

Transmitted: Transmittal of Payment of Issue Fee.

TOTAL AMOUNT OF PAYMENT

PEWA	Complete If Known		
FEE TRANSMITTAL	Application Number	09/660,566	
FEE TRANSIVITIAL	Filing Date	September 12, 200	
\ oci 3 1 E	First Named Inventor	William G. Deitz	
Note: Effective December 8, 2009 Patent fees are subject to annual revision	Group Art Unit	2663	
Patent fees are subject to annual revision	Examiner Name	Lee, Chi Ho A	

\$ 1403

Complete If Known				
Application Number	09/660,566			
Filing Date	September 12, 2000			
First Named Inventor	William G. Deitz			
Group Art Unit	2663			
Examiner Name	Lee, Chi Ho A			
Attorney Docket Number	1200.2.147			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
indicated fees and credit any over payments to:	Large Entity Small Entity					
Deposit Account Number: 09-0466	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Name: IBM CORPORATION	1051	130	2051		Surcharge - late filing fee or oath	
Charge Any Additional Charge the Issue Fee	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Fee Required Under In 37 CFR at the Mailing of the Notice of Allowance	1053	130	1053	130	Non-English specification	
	1812	2520	1812	2520	For filing a request for reexamination	
2. Payment Enclosed:	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Check ☐ Money Order ☐ Other	1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	120	2251	60	Extension for reply within first month	
1. FILING FEE	1252	450	2252	225	Extension for reply within second month	
	1253	1020	2253	510	Extension for reply within third month	
Large Entity Small Entity	1254	1530	2254	765	Extension for reply within fourth month	
Fee Fee Fee Fee Description Fee Paid	1255	2160	2255	1080	Extension for reply within fifth month	
Code (\$) Code (\$)	1401	500	2401	250	Notice of Appeal	
1011 300 2011 150 Utility filing fee	1402	500	2402	250	Filing a brief in support of an appeal	
1111 500 2111 250 Utility search fee	1403	1000	2403	500	Request for oral hearing	
1311 200 2311 100 Utility examination fee	1451	1510	1451	1510	Petition to institute a public use proceeding	
1084 250 2004 125 Utility size fee for each additional 50 over 100	1452	110	2452	55	Petition to revive -unavoidably	
1005 200 2005 100 Provisional filing fee	1453	1370	2453	685	Petition to revive - unintentional	
SUBTOTAL (1) \$ 0	1501	1400	2501	700	Utility issue fee	1400
2. CLAIMS Fee from	1502	800	2502	400	Design issue fee	
Extra below Fee Paid	1503	1100	2503	550	Plant issue fee	
Total Claims -20 = 0 x 50 =	1460	130	1460	130	Petitions to the Commissioner	
Ind. Claims -3 = 0 x 100 =	1807	50	1807	50	Petitions related to provisional applications	
Multiple Dep. Claims 0 x 380 = 0	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	•
Fee Fee Fee Fee Description Code (\$) Code (\$)	1801	790	2801	395	Request for continued examination (RCE) (37 CFR 1.114)	
1202 50 2202 25 Claims in excess of 20 1201 200 2201 100 Independent claims in excess of 3	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 380 2203 180 Multiple dependent claim	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1204 200 2204 100 Reissue independent claims over original patent  1205 50 2205 25 Reissue claims in excess of 20 and over original patent	Printed Copy of Patent w/o color, regular service delivery by USPS, UPSTO Box, or				3	
SUBTOTAL (2) 0	*Reduced	d by Basic	Filing Fee		SUBTOTAL (3) \$1	403

SUBMITTED BY					Complete (if applicable)	
Typed or Printed Name	ped or Printed Name Brian C. Kunzler				Reg. Number	38,527
Signature	13001	101	Date	Oct 24, 2005	Deposit Account User ID	